

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

JC  
MAY. 10. 2004 2:55PM JCWSCS 10 MAY 2004 GLAXO WELLCOME

NO. 3579 P. 1

## FAX

To Office of Initial Patent Examination's

Filing Receipt Correction

Company USPTO

Fax 703-746-9195

From Marjorie J. Pfeiffer

Tel 1-919-483-9038; Facsimile: 1-919-483-7988

E-mail [marjorie.j.pfeiffer@gsk.com](mailto:marjorie.j.pfeiffer@gsk.com)

Date May 10, 2004 Pages including cover 5

Subject Request for Corrected Filing Receipt

Re: Application of Susan CHUBINSKAYA et al.  
U.S. Serial No.: 10/659,801; Filed: September 10, 2003  
Group Art: 1644  
Title: *Methods and Compositions Related To Modulators of  
Annexin and Cartilage Homeostasis*  
Attorney Docket No. PU3680US3

### Attached:

1. Request for Corrected Filing Receipt (with a Certificate of Transmission (37 CFR 1.8(a))
2. Copy of updated Filing Receipt
3. Copy of Express Mail Label EV330916350US, date stamped Sept. 10, 2003



GlaxoSmithKline

GlaxoSmithKline  
PO Box 13398  
Five Moore Drive  
Research Triangle Park  
North Carolina 27709

Tel: 919 483 2100  
[www.gsk.com](http://www.gsk.com)

The information contained in these documents is confidential and may also be privileged and is intended for the exclusive use of the addressee designated above. If you are not the intended recipient or the employee or agent responsible to deliver it to the intended recipient, any disclosure, reproduction, distribution, or any other dissemination or use of this communication is strictly prohibited. If you have received this transmission in error please contact us immediately by telephone so that we can arrange for its return.

Atty. Dkt. No. PU3680US3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Susan Chubinskaya et al.  
Serial No.: 10/659,801  
Filed: September 10, 2003  
For: *Methods and Compositions  
Related to Modulators of Annexin  
and Cartilage Homeostasis*

Unit: 1644  
Examiner:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## REQUEST FOR CORRECTED FILING RECEIPT

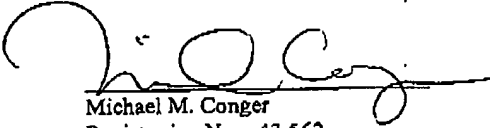
1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data, which is:

3. ☒ incorrectly entered and/or ☐ omitted.

- Error in**
1. ☐ Applicant's name
  2. ☐ Applicant's address
  3. ☐ Title
  4. ☒ Filing date
  5. ☐ Serial Number
  6. ☐ Foreign/PCT Application Re:
  7. ☐ Other:

- Correct data**
- 1.
  - 2.
  - 3.
  4. 09/10/2003 (copy of Express Mail Label, date stamped Sept 10 2003, is attached)
  - 5.
  - 6.
  - 7.

  
Michael M. Conger  
Registration No.: 43,562

Date: 10 May, 2004  
GlaxoSmithKline  
Five Moore Drive, P.O. Box 13398  
Research Triangle Park, NC 27709  
Phone: 919-483-2474  
Fax: 919-483-7988

## CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being facsimile transmitted or deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Date: MAY 10, 2004

  
MARJORIE J. PFEIFFER



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/659,801	09/11/2003	1644	894	PU3680US3	3	28	1

CONFIRMATION NO. 5240

23347

DAVID J LEVY, CORPORATE INTELLECTUAL PROPERTY  
 GLAXOSMITHKLINE  
 FIVE MOORE DR., PO BOX 13398  
 RESEARCH TRIANGLE PARK, NC 27709-3398

## FILING RECEIPT



\*OC000000011430780\*

Date Mailed: 12/05/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Susan Chubinskaya, Vernon Hills, IL;  
 Jeff Hutchins, Chapel Hill, NC;  
 Juergen Mollenhauer, Eisenberg, GERMANY;  
 Francis X. Tavares, Durham, NC;  
 Stephen A. Thomson, Durham, NC;  
 Jennings F. Worley, Durham, NC;

DEC 11 2003

## Domestic Priority data as claimed by applicant

This application is a DIV of 09/745,989 12/21/2000 PAT 6,649,366  
 which claims benefit of 60/173,692 12/29/1999

## Foreign Applications

If Required, Foreign Filing License Granted: 12/05/2003

Projected Publication Date: 03/18/2004

Non-Publication Request: No

Early Publication Request: No

**Title**

Methods and compositions related to modulators of annexin and cartilage homeostasis

**Preliminary Class**

435

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

DOCKET NO. PU3680US3DOCKET No. PU3680US3 Date Mailed 9/10/03 Atty/Secy mme/mjpMAILING: CERTIFICATE/EXPRESS MAIL # EV330916350USU.S. Serial No.: TO BE ASSIGNED Filing Date: CONCURRENTLY HEREWITH

Int'l App. No.: \_\_\_\_\_ Int'l Filing Date: \_\_\_\_\_

## RECEIPT IS ACKNOWLEDGED FOR THE FOLLOWING:

☒ Appl Transmit +1 copy for: ☐ Provisional ☐ CIP  
☐ Utility ☐ CONTIN ☒ DIV ☐ RCE ☐ TRADEMARK

☒ Specification 52 pgs ☒ Abstract 1 pgs

☒ Decls 2 pgs ☐ Powers of Atty \_\_\_\_\_ pgs

☒ Drawings 3 Sheet(s)/Figs 1 to 4
☐ Assignment \_\_\_\_\_ pgs & Recordation Cover Sheet

☐ Transmit Ltr Nat'l Stage Entry +fee pg (3pgs)

☒ Information Disclosure Statement

☒ Form PTO-1449 2 pgs. ☐ References

☐ Amendment ☐ Response \_\_\_\_\_ pgs

☐ Petition for \_\_\_\_\_ month Extension of Time +1 copy

☐ Issue Fee Trans. (Part B) + 1 copy

☐ Copy of Notice to File Missing Parts

☐ Request for Nonpublication (1 pg)

☒ Authorization to Charge Dep. Acct. # 07-1392
☐
☐
☐
☐ Copy of Notice to Comply

☐ Statement to Support Filing

☐ Diskette ☐ Sequence List

☐ Appeal Brief \_\_\_\_\_ pgs

☐ Petition \_\_\_\_\_ pgs

☐ Status Request

☐ 2nd Transmit for Nat'l Stage

☐ Resp. to Written Opinion

☐ Priority Doc # \_\_\_\_\_

☐ Notice of Appeal +fee

☐ Resp to Restrict Req \_\_\_\_\_ pgs

☐ Req to Correct Filing Rcpt

☐ Copy of Filing Receipt

☐ Req for Cert of Correct \_\_\_\_\_ pgs

 22364 U.S. PTO  
 10/659801  
 09/11/03

PCN/1PM



EV 330916350 US

Mailing Label

11-F June 2002

Customer Copy

Label 11-F June 2002



EV 330916350 US



UNITED STATES POSTAL SERVICE®

Post Office To Addressee

lessee

ORIGIN (POSTAL USE ONLY)				DELIVERY (POSTAL USE ONLY)			
PS ZIP Code <u>37109</u>	Day of Delivery <input checked="" type="checkbox"/> Next <input type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/> <u>709</u>		Delivery Attempt Mo. <u>9</u> Day <u>10</u>	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature	
Date in Mo. <u>9</u> Day <u>10</u> Year <u>03</u>	<input checked="" type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Postage <u>\$ 11.85</u>		Delivery Attempt Mo. <u>9</u> Day <u>10</u>	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature	
Time <input type="checkbox"/> AM <input checked="" type="checkbox"/> PM	<input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee		Delivery Date Mo. <u>9</u> Day <u>10</u>	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature	
Weight <u>13</u> lbs. <u>026</u> ozs.	Int'l Alpha Country Code	COD Fee	Insurance Fee	<input type="checkbox"/> WAIVER OF SIGNATURE (Domestic Only) Additional insurances to void if waiver of signature is requested. I wish signature to be made without obtaining signature of addressee or addressee's agent. If delivery employee judges that article can be left in secure location, delivery will be made. If signature is required, delivery will be held (except of delivery). <input type="checkbox"/> NO DELIVERY <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday			
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials <u>SW</u>	Total Postage & Fees <u>\$ 11.85</u>		Customer Signature			

CUSTOMER USE ONLY		FROM: (PLEASE PRINT)		TO: (PLEASE PRINT)	
METHOD OF PAYMENT Express Mail Corporate Acct. No. <u>127732702</u>		PHONE <u>PU3680US3</u> <u>mme/mjp</u>		PHONE _____	
FROM: (PLEASE PRINT) JANSSEN-ILAVE 1000 N. 10TH ST PITTSBURGH, PA 15204 PO BOX 10000 PITTSBURGH, PA 15204		MAIL STOP PATENT APPLICATION COMMISSIONER FOR PATENTS PM BOX 1450 WASHINGTON, DC 20540-1450			

PRESS HARD.

You are making 3 copies.

FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com

